

Substitute for Form 1449A/PTO (Modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known

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|------------------------|-----------------|
| Application Number | 09/823,131 |
| Filing Date | March 30, 2001 |
| First Named Inventor: | Carl M. Ellison |
| Group Art Unit | 2181 |
| Examiner Name | Unassigned |
| Attorney Docket Number | 042390P8110 |

Sheet 2 of 2

OTHER ART - NO PATENT LITERATURE DOCUMENTS

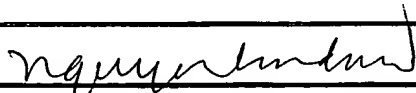
| Examiner Initials* | Cite No ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T ² |
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| Examiner Signature | <i>[Signature]</i> | Date Considered | 11/22/04 |
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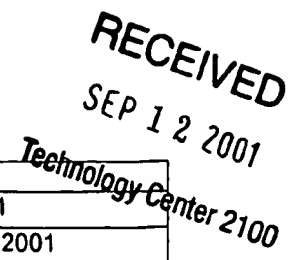
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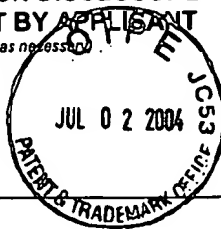
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 Group Art Unit 2131
 Examiner Name Sheikh, Ayaz

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Sheet 1 of 2

Attorney Docket No: 42P08110

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Substitute Disclosure Statement Form (PTO-1449)

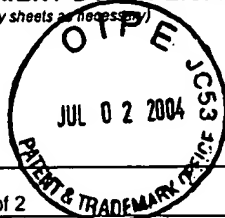
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| First Named Inventor | Ellison, Carl |
| Group Art Unit | 2131 |
| Examiner Name | Sheikh, Ayaz |

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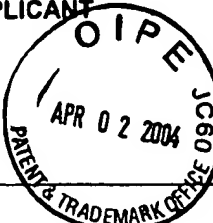
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| | | Examiner Name | Sheikh, Ayaz |
| Sheet 1 of 1 | | Attorney Docket No: 42P08110 | |

| US PATENT DOCUMENTS | | | | | | |
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| Examiner Signature | <i>[Signature]</i> | Date Considered | 11/22/04 |
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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| | |
|------------------------|----------------|
| Application Number | 09/823,131 |
| Filing Date | 3/30/2001 |
| First Named Inventor: | Ellison, et al |
| Group Art Unit | 2181 |
| Examiner Name | Unassigned |
| Attorney Docket Number | 042390.P8110 |

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Sheet 1 of 1

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| Attorney Docket Number | 042390.P8110 |
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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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Electronic Information Disclosure Statement

File Checking Using Remote Signing Authority Via a Network

Application: 
09/823131

Confirmation: 6846

Applicant(s): Carl Ellison

Docket
Number: 042390.P8110

Group Art
Unit: 2181





Examiner: Unassigned

search string: (4037214 or 5079737 or 5386552 or 5628022 or 5737760 or 5757919 or 5852717 or 5872994 or 6055637 or 6085296 or 6125430 or 6148379 or 6192455 or 6249872 or 6272533 or 6292874 or 6301646 or 6321314 or 6339815 or 6339816 or 6499123 or 6505279 or 20010021969 or 20010027527).pn.

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US Patent Documents



Note: Applicant is not required to submit a paper copy of cited US Patent Documents

| init | Citation No. | Patent Number | Date | Bar Code | Patentee | Class | Subclass |
|------|--------------|---------------|------------|--|----------------|-------|----------|
| W01 | P01 | 4037214 | 1977-07-19 |  | Birney, et al. | | |
| I | P02 | 5079737 | 1992-01-07 |  | Hackbarth | | |
| I | P03 | 5386552 | 1995-01-31 |  | Garney | | |
| W | P04 | 5628022 | 1997-05-06 |  | Ueno, et al. | | |

| | | | | | |
|-----|-----|---------|------------|--|----------------------|
| hwy | P05 | 5737760 | 1998-04-07 | | Grimmer, Jr., et al. |
| | P06 | 5757919 | 1998-05-26 | | Herbert, et al. |
| | P07 | 5852717 | 1998-12-22 | | Bhide, et al. |
| | P08 | 5872994 | 1999-02-16 | | Akiyama, et al. |
| | P09 | 6055637 | 2000-04-25 | | Hudson, et al. |
| | P10 | 6085296 | 2000-07-04 | | Karkhanis, et al. |
| | P11 | 6125430 | 2000-09-26 | | Noel, et al. |
| | P12 | 6148379 | 2000-11-14 | | Schimmel |
| | P13 | 6192455 | 2001-02-20 | | Bogin, et al. |
| | P14 | 6249872 | 2001-06-19 | | Wildgrube, et al. |
| | P15 | 6272533 | 2001-08-07 | | Browne |
| | P16 | 6292874 | 2001-09-18 | | Barnett |
| | P17 | 6301646 | 2001-10-09 | | Hostetter |
| | P18 | 6321314 | 2001-11-20 | | Van Dyke |
| | P19 | 6339815 | 2002-01-15 | | Feng, et al. |
| | P20 | 6339816 | 2002-01-15 | | Bausch |
| | P21 | 6499123 | 2002-12-24 | | McFarland, et al. |
| | P22 | 6505279 | 2003-01-07 | | Phillips, et al. |

Published Applications

Note: Applicant is not required to submit a paper copy of cited US Patent Documents


| init | Citation No. | Patent Number | Date | Bar Code | Patentee | Class | Subclass |
|------|--------------|---------------|------------|--|------------------|-------|----------|
| h | U01 | 20010021969 | 2001-09-13 |  | Burger, et al. | | |
| ✓ | U02 | 20010027527 | 2001-10-04 |  | Khidekel, et al. | | |

Remarks

(Remarks are not for responding to an office action.)

Applicants, in accordance with their duty of disclosure under 37 CFR 1.56 and in accordance with 37 CFR 1.97(b)(3), hereby submit this Electronic Information Disclosure Statement citing U.S. Patent Documents for consideration by the Examiner. Pursuant to 37 CFR 1.97, the submission of this Electronic Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability. This Electronic Information Disclosure Statement is being filed prior to a substantive examination of the claims. Pursuant to 37 CFR 1.97(b), no fee should be required for the filing of this Electronic Information Disclosure Statement. In the event it is determined that a fee is due, please charge the fee to Deposit Account 02-2666. Applicants respectfully request that the cited documents be considered and that the form be initialed by the Examiner to indicate such consideration and a copy thereof be returned to Applicants' attorney of record.

Signature

| Examiner Name | Date |
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|  | 11/22/04 |



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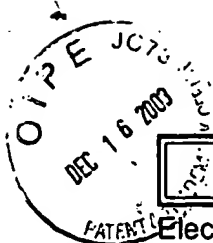
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| <div style="display: flex; justify-content: space-between;"><div><p>Application Number: 09/823131</p><p>Confirmation Number: 6846</p><p>First Named Applicant: Carl Ellison</p><p>Attorney Docket Number: 42P08110</p><p>Art Unit: 2181</p><p>Examiner: Unknown Unknown</p><p>Search string: (4247905 or 4347565 or 5319760 or 5437033 or 5511217 or 5522075 or 5737604 or 5854913 or 6075938 or 6182089 or 6272637 or 6314409 or 6374317 or 6397242).pn.</p></div><div style="text-align: right;"><p>RECEIVED</p><p>AUG 25 2003</p><p>Technology Center 2100</p></div></div> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| US Patent Documents | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Note: Applicant is not required to submit a paper copy of cited US Patent Documents | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <table border="1" style="width: 100%; border-collapse: collapse;"><thead><tr><th>init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td><input checked="" type="checkbox"/></td><td>1</td><td>4247905</td><td>1981-01-27</td><td>Yoshida, Yukihiro , et al.</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>2</td><td>4347565</td><td>1982-08-31</td><td>Kaneda, Saburo , et al.</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>3</td><td>5319760</td><td>1994-06-07</td><td>Mason, Andrew H., et al.</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>4</td><td>5437033</td><td>1995-07-25</td><td>Inoue, Taro , et al.</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>5</td><td>5511217</td><td>1996-04-23</td><td>Nakajima, Atsushi , et al.</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>6</td><td>5522075</td><td>1996-05-28</td><td>Robinson, Paul T., et al.</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>7</td><td>5737604</td><td>1998-04-07</td><td>Miller, David A., et al.</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>8</td><td>5854913</td><td>1998-12-29</td><td>Goetz, John W., et al.</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>9</td><td>6075938</td><td>2000-06-13</td><td>Bugnion, Edouard , et al.</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>10</td><td>6182089</td><td>2001-01-30</td><td>Ganapathy, Narayanan , et al.</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>11</td><td>6272637</td><td>2001-08-07</td><td>Little, Wendell L., et al.</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>12</td><td>6314409</td><td>2001-11-06</td><td>Schneck, Paul B., et al.</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>13</td><td>6374317</td><td>2002-04-16</td><td>Ajanovic, Jasmin , et al.</td><td></td><td></td><td></td></tr><tr><td><input checked="" type="checkbox"/></td><td>14</td><td>6397242</td><td>2002-05-28</td><td>Devine, Scott W., et al.</td><td></td><td></td><td></td></tr></tbody></table> | | | | init | Cite.No. | Patent No. | Date | Patentee | Kind | Class | Subclass | <input checked="" type="checkbox"/> | 1 | 4247905 | 1981-01-27 | Yoshida, Yukihiro , et al. | | | | <input type="checkbox"/> | 2 | 4347565 | 1982-08-31 | Kaneda, Saburo , et al. | | | | <input type="checkbox"/> | 3 | 5319760 | 1994-06-07 | Mason, Andrew H., et al. | | | | <input type="checkbox"/> | 4 | 5437033 | 1995-07-25 | Inoue, Taro , et al. | | | | <input type="checkbox"/> | 5 | 5511217 | 1996-04-23 | Nakajima, Atsushi , et al. | | | | <input type="checkbox"/> | 6 | 5522075 | 1996-05-28 | Robinson, Paul T., et al. | | | | <input type="checkbox"/> | 7 | 5737604 | 1998-04-07 | Miller, David A., et al. | | | | <input type="checkbox"/> | 8 | 5854913 | 1998-12-29 | Goetz, John W., et al. | | | | <input type="checkbox"/> | 9 | 6075938 | 2000-06-13 | Bugnion, Edouard , et al. | | | | <input type="checkbox"/> | 10 | 6182089 | 2001-01-30 | Ganapathy, Narayanan , et al. | | | | <input type="checkbox"/> | 11 | 6272637 | 2001-08-07 | Little, Wendell L., et al. | | | | <input type="checkbox"/> | 12 | 6314409 | 2001-11-06 | Schneck, Paul B., et al. | | | | <input type="checkbox"/> | 13 | 6374317 | 2002-04-16 | Ajanovic, Jasmin , et al. | | | | <input checked="" type="checkbox"/> | 14 | 6397242 | 2002-05-28 | Devine, Scott W., et al. | | | |
| init | Cite.No. | Patent No. | Date | Patentee | Kind | Class | Subclass | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| Remarks | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

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Signature

| Examiner Name | Date |
|---|----------|
|  | 11/22/04 |



ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18
Stylesheet Version v18.0

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| Title of Invention | File Checking Using Remote Signing Authority Via a Network |
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Application Number: 09/823131
Confirmation Number: 6846
First Named Applicant: Carl Ellison
Attorney Docket Number: 42P08110
Art Unit: 2131
Examiner: Ayaz Sheikh
Search string: (20030018892 or 4319323 or 4759064 or 4795893 or 5007082 or 5293424 or 5317705 or 5459867 or 5504922 or 5606617 or 5901225 or 5970147 or 6061794 or 6092095 or 6115816 or 6212635 or 6222923 or 6252650 or 6269392 or 6330670 or 6357004 or 6363485 or 6374286 or 6378072 or 6389537 or 6412035 or 6421702 or 6445797 or 6463535 or 6463537 or 6507904 or 6535988 or 6557104 or 6633963 or



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| init | Cite.No. | Patent No. | Date | Patentee | Kind | Class | Subclass |
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Remarks

Note: Remarks are not for responding to an office action.

Applicants, in accordance with their duty of disclosure under 37 CFR 1.56 and in accordance with 37 CFR 1.97(b)(3), hereby submit this Electronic Information Statement citing U.S. Patent Documents for consideration by the Examiner. Pursuant 37 CFR 1.97, the submission of this Electronic Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability. This Electronic Information Disclosure Statement is being filed prior to receipt of a first office action. Pursuant to 37 CFR 1.97(b), no fee should be required the filing of this Electronic Information Disclosure Statement. In the event it is that a fee is due, please charge the fee to Deposit Account 02-2666. Applicants



I respectfully request that the cited documents be considered and that the form be by the Examiner to indicate such consideration and a copy thereof be returned to Applicants' attorney of record.

Signature

| Examiner Name | Date |
|---------------------------|----------|
| <i>Nguyen [Signature]</i> | 11/22/04 |

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| <p>Application Number: 09/823131</p> <p>Confirmation Number: 6846</p> <p>First Named Applicant: Carl Ellison</p> <p>Attorney Docket Number: 42P08110</p> <p>Art Unit: 2131</p> <p>Examiner: Ayaz Sheikh</p> <p>Search string: (20010027511 or 20020007456 or 20030196085 or 3699532 or 4207609 or 4319233 or 4403283 or 4419724 or 5237616 or 5287363 or 5560013 or 5604805 or 5633929 or 5668971 or 5684948 or 5706469 or 5740178 or 5752046 or 5809546 or 5825880 or 5919257 or 5935242 or 5935247 or 6035374 or 6093213 or 6108644 or 6131166 or 6199152 or 6327652 or 6397379 or 6529909 or 6560627 or 6609199 or 6615278 or 6651171 or 6678825 or 6684326).pn.</p> <p style="text-align: right;">RECEIVED JUL 06 2004 Technology Center 2100</p> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <p>US Patent Documents</p> <p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p> <table border="1"><thead><tr><th>init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td><input checked="" type="checkbox"/></td><td>1</td><td>20010027511</td><td>2001-10-04</td><td>Wakabayashi, Masaki , et al.</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>2</td><td>20020007456</td><td>2002-01-17</td><td>Peinado, Marcus , et al.</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>3</td><td>20030196085</td><td>2003-10-16</td><td>Lampson, Butler W., et al.</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>4</td><td>3699532</td><td>1972-10-17</td><td>Schaffer, Harry G., et al.</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>5</td><td>4207609</td><td>1980-06-10</td><td>Luiz, Fernando A., et al.</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>6</td><td>4319233</td><td>1982-03-09</td><td>Matsuoka, Michihiro , et al.</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>7</td><td>4403283</td><td>1983-09-06</td><td>Myntti, Jon N., et al.</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>8</td><td>4419724</td><td>1983-12-06</td><td>Branigin, Michael H., et al.</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>9</td><td>5237616</td><td>1993-08-17</td><td>Abraham, Dennis G., et al.</td><td></td><td></td><td></td></tr><tr><td><input checked="" type="checkbox"/></td><td>10</td><td>5287363</td><td>1994-02-15</td><td>Wolf, Paul I., et al.</td><td></td><td></td><td></td></tr></tbody></table> | | init | Cite.No. | Patent No. | Date | Patentee | Kind | Class | Subclass | <input checked="" type="checkbox"/> | 1 | 20010027511 | 2001-10-04 | Wakabayashi, Masaki , et al. | | | | <input type="checkbox"/> | 2 | 20020007456 | 2002-01-17 | Peinado, Marcus , et al. | | | | <input type="checkbox"/> | 3 | 20030196085 | 2003-10-16 | Lampson, Butler W., et al. | | | | <input type="checkbox"/> | 4 | 3699532 | 1972-10-17 | Schaffer, Harry G., et al. | | | | <input type="checkbox"/> | 5 | 4207609 | 1980-06-10 | Luiz, Fernando A., et al. | | | | <input type="checkbox"/> | 6 | 4319233 | 1982-03-09 | Matsuoka, Michihiro , et al. | | | | <input type="checkbox"/> | 7 | 4403283 | 1983-09-06 | Myntti, Jon N., et al. | | | | <input type="checkbox"/> | 8 | 4419724 | 1983-12-06 | Branigin, Michael H., et al. | | | | <input type="checkbox"/> | 9 | 5237616 | 1993-08-17 | Abraham, Dennis G., et al. | | | | <input checked="" type="checkbox"/> | 10 | 5287363 | 1994-02-15 | Wolf, Paul I., et al. | | | |
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| 28 | 6199152 | 2001-03-06 | Kelly, Edmund J., et al. |
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| 35 | 6651171 | 2003-11-18 | England, Paul , et al. . |
| 36 | 6678825 | 2004-01-13 | Ellison, Carl M., et al. |
| 37 | 6684326 | 2004-01-27 | Cromer, Daryl C., et al. |

Signature


| Examiner Name | Date |
|---------------------|----------|
| <i>Nguyen Thien</i> | 11/22/04 |



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| Title of Invention | File Checking Using Remote Signing Authority Via a Network | | | | | | |
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| US Patent Documents | | | | | | | |
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|--------------------|----------|
| Examiner Name | Date |
| <i>Nguyen Binh</i> | 11/22/04 |